

JFW

PATENT  
DP-305346-CON



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Craig A. Osterday, et al.

Docket No.: DP-305346-CON

Serial No.: 10/796,227

Filed: March 9, 2004

Title: ELECTRICALLY ACTUATED DISC  
BRAKE ASSEMBLY

)  
) Examiner: Kramer, Devon  
)  
) Art Unit: 3683  
)  
) Continuation of 10/202,771,  
) filed July 24, 2002,  
) allowed December 9, 2004,  
) issue fee paid.

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Applicants request the Examiner to consider and make of record the reference(s) and/or information disclosed herein or on the attached PTO 1449.

**CHECK ONE: (A, B, or C.)**

☐ A. This statement is submitted within 1) three months after the filing date (even if after the first action); or 2) within three months of the date of entry of the national stage or 3) before the mailing date of a first Office Action on the merits. No fee or statement is required.

**[X]** B. This statement is submitted after the period specified in para. A, but before Final Office Action or Notice of Allowance or the close of prosecution.

**CHECK ONE: (1, 2, or 3)**

☐ 1. I hereby state that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2. I hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement; or

**[X]** 3. Charge the \$180 fee set forth in 37 CFR §1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.

**A copy of the Search Report issued in European Patent Application No. 03077065.5 (a counterpart to parent Application No. 10/202,771) is also enclosed. US Publication 2004-0089505-A1 is an English-language counterpart to FR 281946. US Publication 2005-0029858-A1 is an English-language counterpart to WO 03/016745A2.**

☐ C. This statement is submitted after a Final Office Action or Notice of Allowance or the close of prosecution, but before payment of the issue fee. Charge the \$180 fee set forth in 37 CFR §1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.

**CHECK ONE: (1 or 2)**


☐ 1. I hereby state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2. I hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any

individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

If any additional fee or any additional amount of fee is required in connection with this Information Disclosure Statement, Applicant respectfully requests that such fee or amount of fee be charged to Delphi Technologies, Inc. Deposit Account No. 50-0831.

Respectfully submitted,



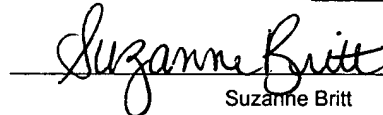
---

Michael D. Smith – 40,181  
Delphi Technologies, Inc.  
Telephone (248) 813-1250

enc: PTO 1449 and references  
European Search Report

CERTIFICATE OF MAILING

The undersigned hereby certifies that this document is being deposited with the United States Postal Service in an envelope as first class mail addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 16, 2005.



---

Suzanne Britt



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/796,227
Filing Date	March 9, 2004
First Named Inventor	Craig A. Osterday
Art Unit	3683
Examiner Name	Devon Kramer
Attorney Docket Number	DP-305346-CON

Sheet / of

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2002-0023806-A1	02-28-2002	Ohtani, et al.	
		US- 2005-0029858-A1	02-10-2005	Forster, et al.	
		US- 2004-0089505-A1	05-13-2004	Audran, et al.	
		US- 4381049	04-26-1983	Crossman	
		US- 5661384	08-26-1997	Glibbery	
		US- 4542809	09-24-1985	Crossman	
		US- 4921076	05-01-1990	Grenier, et al.	
		US- 4567967	02-04-1986	Crossman	
		US- 4865162	09-12-1989	Morris, et al.	
		US- 4995483	02-26-1991	Moseley, et al.	
		US- 6003640	12-21-1999	Ralea	
		US- 6251441	06-26-2001	Van Den Braak, et al	
		US- 5603395	02-18-1997	Mabee	
		US- 6412613	07-02-2002	Lu	
		US- 6402259	06-11-2002	Corio, et al.	
		US- 6457783	10-01-2002	Schanzenbach, et al.	
		US- 3612619	10-12-1971	Hayes	
		US- 5706918	01-13-1998	Redelman	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP-1084949-A2	01-28-1988	B.F. Goodrich		
		JP-03264725	11-26-1991	Mitsubishi		
		FR-2819469	07-19-2002	Sagem SA		
		WO 03/016745-A2	02-27-2003	Wabco GbbH & Co		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.